
DESIGN OPTIMIZATION OF STHE USING HYBRID META-HEURISTIC APPROACH

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Abstract: This research contributes valuable insights into the field of heat exchanger design, offering a systematic and innovative approach to address the challenges associated with optimizing the complex parameters of Shell-and-Tube Heat Exchangers. The findings of this study have the potential to significantly impact the efficiency and cost-effectiveness of heat exchanger systems in diverse industrial applications. The optimization process is applied to various scenarios, considering different operating conditions and design constraints commonly encountered in practical applications. The results demonstrate the effectiveness of the hybrid meta-heuristic approach in achieving improved designs, showcasing enhanced heat transfer performance while simultaneously addressing practical constraints and minimizing overall costs. The proposed approach integrates the strengths of different meta-heuristic algorithms, leveraging their complementary abilities to explore the design space more effectively. Through this hybridization, the optimization process aims to achieve superior performance in terms of heat exchanger efficiency, size, and cost.

Keywords: Shell-and-Tube Heat Exchanger (STHE), Design Optimization, Hybrid Meta-Heuristic Approach, Genetic Algorithms, Simulated Annealing, Particle Swarm Optimization.

1. INTRODUCTION

Industrial The design of Shell-and-Tube Heat Exchangers (STHE) plays a pivotal role in the efficiency and cost-effectiveness of heat transfer systems across various industrial applications. As demands for enhanced performance and sustainability continue to rise, there is an increasing need for advanced optimization techniques to refine the intricate parameters governing the design process. This study introduces a novel and comprehensive approach to address this challenge through the application of a Hybrid Meta-Heuristic Approach. Traditional optimization methods often face limitations when dealing with the complex and multidimensional nature of STHE design problems. To overcome these challenges, this research leverages the synergies of multiple meta-heuristic algorithms, integrating their strengths to form a cohesive and robust optimization framework. The hybridization of algorithms, including genetic algorithms, simulated annealing, and particle swarm optimization, is explored to exploit their complementary characteristics in navigating the vast and intricate design space[1]–[4].

The primary objective of this study is to enhance the overall efficiency, minimize size, and reduce costs associated with Shell-and-Tube Heat Exchangers. By utilizing a hybrid meta-heuristic approach, we aim to address the trade-offs inherent in the design process, accommodating various operating conditions and practical constraints encountered in real-world applications. This introduction sets the stage for a detailed exploration of the hybrid meta-heuristic methodology employed in the design optimization of STHE. The subsequent sections will delve into the theoretical underpinnings of the selected algorithms, their integration, and the systematic application of this approach to diverse scenarios. The findings of this research hold the promise of significantly advancing the state-of-the-art in heat exchanger design, providing valuable insights for engineers, researchers, and industries seeking to optimize thermal systems for improved performance and sustainability[5]–[8].

Heat exchangers are vital in numerous industrial processes, crucial for transferring thermal energy between fluids in sectors like power generation, chemical processing, HVAC, and refrigeration. Their efficiency greatly influences the performance, energy consumption, and economic aspects of these processes. Consequently, optimizing heat exchanger design is a key research area focused on improving heat transfer efficiency, lowering operational costs, and reducing environmental impact[9]–[11].

The design optimization of heat exchangers is at the intersection of engineering innovation and industrial necessity. Used extensively across various industries, they are fundamental to operations in power, chemical manufacturing, aerospace, and HVAC systems. The efficient transfer of heat between fluids, their primary function, significantly affects the efficiency, costs, and environmental impact of industrial activities. This makes the optimization of heat exchanger designs crucial in meeting the growing demands for energy efficiency, cost-effectiveness, and sustainability[3], [12], [13].

Heat exchanger optimization is a multidisciplinary task involving thermodynamics, fluid dynamics, and materials science, aiming to improve performance metrics like heat transfer efficiency, pressure drop reduction, and fouling resistance. This process balances theoretical analysis, mathematical modeling, and advanced computational techniques, enhancing the understanding of heat exchanger behavior and leading to innovative design solutions[14]–[17].

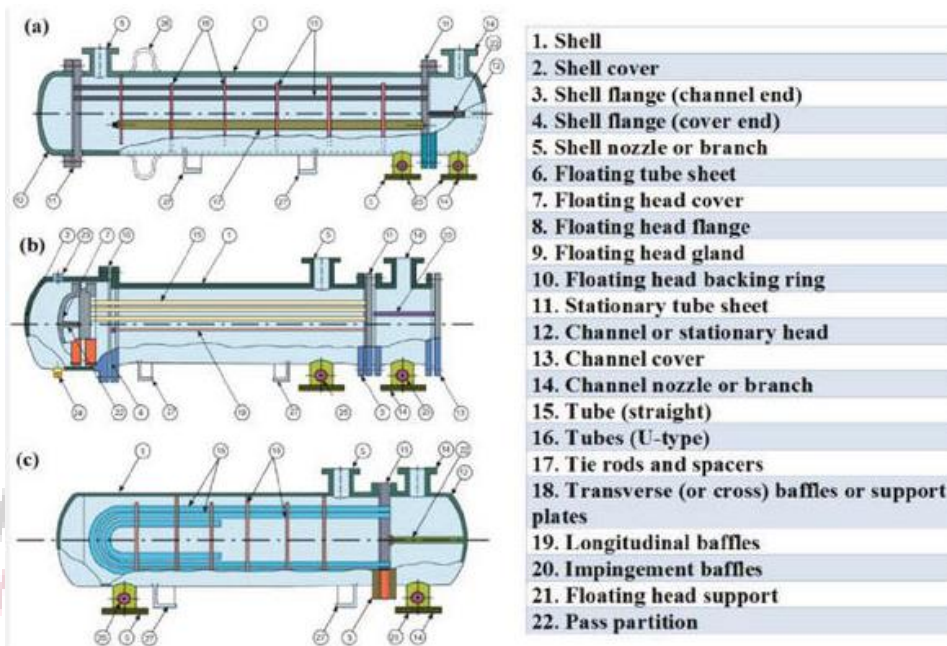
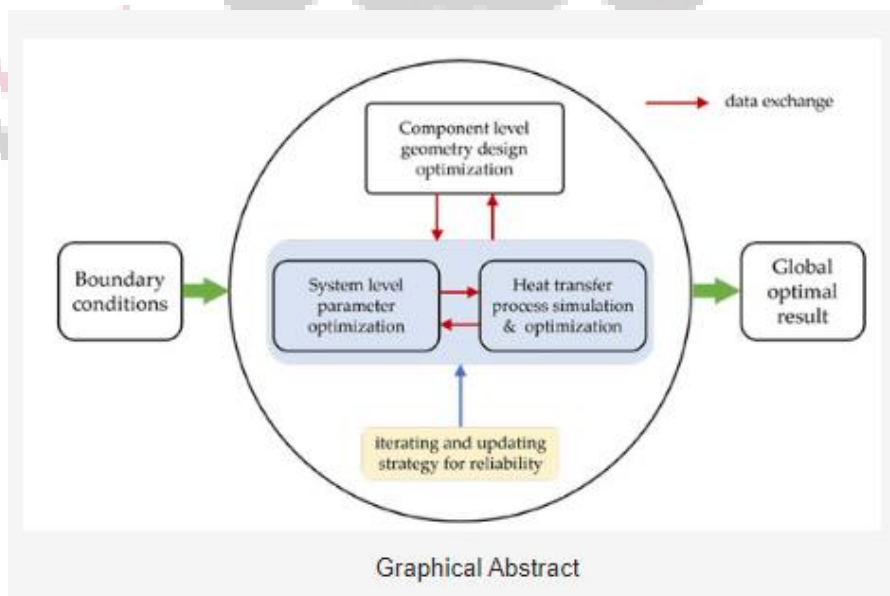


Figure 1 Heat exchanger

The significance of this optimization extends beyond technical aspects to real-world impacts. In the energy sector, optimized heat exchangers contribute to sustainability and efficiency, particularly in power generation where they help reduce environmental impact. In chemical processing, they offer improvements in heat transfer and eco-friendly, cost-effective solutions.

Moreover, heat exchanger optimization influences emerging technologies and environmental concerns. With the rise of additive manufacturing, new possibilities in heat exchanger design are emerging, enabling innovative geometries. Additionally, optimizing heat exchangers aligns with goals to reduce environmental footprints in industrial processes, emphasizing sustainability[18].

Significance of Heat Exchanger Optimization



Graphical Abstract

Figure 2 Heat exchanger process

Heat exchanger optimization is crucial primarily because it directly enhances energy conservation and overall system efficiency in industrial settings. A significant part of energy usage in these environments is due to heat exchange processes. By refining the design of heat exchangers, we can attain higher thermal efficiency, which leads to lower energy consumption and operational costs. Additionally, optimized heat exchangers play a vital role in environmental sustainability by reducing the carbon footprint of energy-intensive processes. This optimization not only makes industrial operations more efficient and cost-effective but also contributes positively to reducing environmental impact. The importance of optimizing heat exchangers is multi-dimensional, significantly influencing economic, environmental, and technological aspects in various industries. With the rise of emerging technologies like additive manufacturing, or 3D printing, new possibilities for innovative and complex heat exchanger designs have emerged. These advanced manufacturing techniques allow for the creation of geometries that were previously impossible with traditional methods, leading to potentially higher performance and efficiency [3], [5], [10], [13], [19], [20].

In this evolving landscape, optimizing heat exchangers isn't just about enhancing existing systems but also about driving forward new, transformative designs that could revolutionize thermal management across different applications. This process is crucial for improving thermal efficiency, cutting down operational costs, and adhering to sustainability objectives, thereby playing a crucial role in shaping the future of industrial processes. As industries adapt to the challenges of a rapidly changing world, the continuous optimization of heat exchangers serves as a key factor in moving towards more efficient, sustainable, and economically viable solutions.

2. LITERATURE REVIEW

In a study by Jaromír et al. [1] focused on a shell and tube heat exchanger (STHX) with 6 porous baffles. It investigates the total heat transfer rate and pressure drop. Different values of permeability, porosity, and baffle cut are considered. The study finds that lower baffle cuts increase heat transfer but also cause significant pressure drops. The best porosity for heat transfer is 0.2, but it also results in higher pressure drops. An Artificial Neural Network (ANN) is used to analyze the STHX, revealing that baffle cut greatly influences both heat transfer and pressure drop, while porosity has a minimal impact. A genetic algorithm is then employed to find the optimal values for maximum heat transfer and minimal pressure drop.

Vasconcelos et al. [2] proposed the Falcon Optimization Algorithm (FOA), inspired by falcon hunting behavior. It's a robust, population-based algorithm that excels in efficiency, effectiveness, and robustness, proven through simulations with twelve benchmark functions. When applied to shell-and-tube and plate-fin heat exchangers, FOA outperforms previous methods, significantly reducing costs and entropy generation, and increasing effectiveness. The algorithm also yielded some solutions superior to those previously reported in the literature.

Thanikodi et al. [3] highlighted the importance of heat exchangers in various fields and the role of simulation in their design. A hybrid neural network model is developed for shell and tube type heat exchangers, effectively predicting their heat transfer rates. The proposed machine learning technique shows improved computational performance compared to conventional methods.

Alirahmi et al. [4] proposed a multi-generation system using geothermal energy and parabolic trough solar collectors to generate power, cooling, freshwater, hydrogen, and heat. The system combines steam Rankine and organic Rankine cycles, with R123 and Therminol 59 identified as the best performing refrigerant and geothermal fluid, respectively. Key parameters like solar intensity, geothermal flow rate, and turbine inlet pressure are analyzed for their impact on exergy efficiency and cost. Multi-objective optimization using genetic algorithms is applied, revealing that the system can reach an exergy efficiency of 29.95% and a total unit cost of 129.7 \$/GJ.

Alirahmi et al. [5] focused on a geothermal-solar multigeneration system designed to produce hydrogen, freshwater, electricity, cooling load, and hot water. After detailed thermodynamic and economic analyses, the system is optimized using the Group method of data handling (GMDH) neural network and non-dominated sorting genetic algorithm II (NSGAI). The optimal point is determined using the TOPSIS decision criterion, achieving an exergy efficiency of 21.63% and a cost rate of 63.89 \$/h. The system's performance is also compared across different cities, with detailed output on a specific day.

Morovat et al. [6] presented a simulation study of an active energy storage device, designed as a phase-change material (PCM) air heat exchanger (PCM-HX), for enhancing building operation. The device, installable in various locations like office ceilings or mechanical rooms, features several panels of PCM. Its performance is evaluated based on heat stored, time for charge/discharge, and energy density. Different design configurations, including dimensions, air channel numbers, and airflow rates, are explored. The study also assesses various control strategies to reduce peak HVAC demand, finding that a specific PCM-HX configuration can cut peak heating load by 41%.

Kumar et al. [7] optimized the hydraulic and thermal constraints of plate heat exchangers using a multi-objective whale optimization (MOWO) algorithm. Parameters like port distances, enlargement factor, port diameter, plate thickness, number of plates, and spacing are optimized for enhanced sensitivity. The goal is to boost heat transfer and minimize pressure drop, with MATLAB simulations confirming the effectiveness of this approach.

Akhtari et al. [8] integrated a heat exchanger with a hybrid renewable energy system (wind, solar, hydrogen) and explores its performance in continuous and intermittent modes over a month. Significant findings include a notable drop in performance on the first working day and an 8% rise in effectiveness when operated intermittently. Adding geothermal energy to this system can improve the renewable fraction by 5.5%, reducing emissions and diesel consumption by 48%.

Liu et al. [9] focused on deep borehole heat exchangers (DBHE), this research develops a numerical model considering geothermal gradients and heat loss from the inner pipe. The model, validated with experimental data, analyzes how design parameters affect heat transfer. Findings include that decreasing inlet flow rates increases heat loss, suggesting insulating the inner pipe or increasing velocity to reduce heat loss, although increased pumping power must be considered. This study contributes to optimizing DBHE and conserving energy in buildings.

Patel et al. [10] discussed the importance of energy in various systems, highlighting the role of heat exchangers in transferring heat between two process streams. It involves manufacturing two coil-in-tube heat exchangers with different steps and analyzing their thermal efficiency. The study aims to achieve efficient heat transfer with minimal heat transfer area and pressure drop.

Hojjat [11] developed an artificial neural network (ANN) to predict the thermal and hydrodynamic behavior of two types of Newtonian nanofluids in a shell and tube heat exchanger (STHE). The ANN, considering factors like nanoparticle volume, Reynolds number, and thermal conductivity, accurately predicts Nusselt number and pressure drop. A multi-objective optimization using the NSGA-II algorithm minimizes pressure drop and maximizes Nusselt number. The Pareto front is analyzed using decision-making methods, showing optimal solutions with a 30% greater Nusselt number and 10% lower pressure drop than the base fluid.

Patel et al. [12] focused on enhancing the performance of solar thermal systems by maximizing heat transmission. It reviews the evolution of heat exchangers and their integration into solar water heating systems, emphasizing the importance of advanced designs and materials. The study uses MATLAB to model dynamic behaviors of sophisticated heat exchangers, highlighting their potential in energy efficiency and sustainability in renewable energy applications.

Patel et al. [13] investigated the impact of advanced materials and coatings on heat exchanger performance. It explores the use of nanomaterials and composites for improved heat transfer rates and introduces smart coatings for optimizing real-time heat transfer. The study assesses the potential and limitations of these materials, including issues like scalability, durability, corrosion resistance, and cost-effectiveness, suggesting coordinated multidisciplinary efforts for complex challenges.

Javadi et al. [14] presented a comprehensive review of recent advances in ground heat exchangers, a key component in ground-source heat pump systems for exploiting shallow geothermal energy. It examines the effects of geometric configuration, pipe material, working fluid, and depth on system performance metrics such as heat flux, transfer coefficient, outlet temperature, thermal resistance, and pressure drop. The study highlights the need for more comprehensive reviews in this field.

Marchionni et al. [15] presented a modelling methodology for Printed Circuit Heat Exchangers (PCHEs) in supercritical CO₂ power systems. It compares 1-D and 3-D modelling approaches, validating the 1-D model against manufacturer data. This model is used for fast simulation and analysis of PCHEs at both design and off-design operating conditions, assessing the potential and limitations of lower order models in predicting overall heat transfer performance in PCHEs.

Li et al. [16] optimized a hybrid building-integrated photovoltaic/thermal system (BIPVT) combined with an earth-air heat exchanger (EAHE). Two configurations (A and B) are examined for their effectiveness in heating and cooling modes. In heating, configuration A preheats air with EAHE and BIPVT, while configuration B first uses BIPVT then EAHE. In cooling, both configurations precool air with EAHE and use building exhaust to cool PV modules. The optimization focuses on maximizing annual energy and exergy outputs, with configuration A yielding slightly lower results than configuration B.

Yang et al. [17] investigated the thermal performance of horizontal spiral coil ground heat exchangers (HSGHEs) used in ground source heat pumps. A small-scale test device studies the impact of various factors like inlet temperatures, spiral pitches, and wind speeds on heat transfer. The study finds that soil temperature interference and total heat exchange rate (HER) are affected by these factors. A 3-D numerical model explores the influence of operation modes, soil types, and coil diameters, suggesting that intermittent operation can improve thermal performance.

Panagant et al. [18] introduced a new surrogate-assisted metaheuristic approach for shape optimization using a seagull optimization algorithm (SOA). It focuses on optimizing the shape of a vehicle bracket to minimize structural mass while meeting stress constraints, using finite element analysis and a Kriging model for function evaluation. SOA shows promising features comparable to other algorithms in industrial design optimization.

Wang et al. [19] presented a novel optimization methodology for ternary extractive distillation, a process used in treating pharmaceutical wastewater. It explores the effect of operating pressure on the distillation process, finding significant energy and cost savings when optimal pressures are applied. The method allows for automatic optimization with fewer simulator runs, offering new solutions for simulator-based process optimization.

Mousa et al. [20] focused on single-phase heat transfer enhancement techniques. It covers both active methods (like electrohydrodynamic and magnetohydrodynamic) that require external power, and passive methods (like dimples and fins) that rely on surface modification. The review evaluates heat transfer rate, pressure drop, and other operational aspects, suggesting that while active methods offer more control, they are more expensive and complex compared to passive techniques. The study emphasizes the role of additive manufacturing and machine learning in designing next-generation heat exchangers for various applications.

3. METHODOLOGY

Heat exchangers are crucial devices that facilitate the transfer of heat from one fluid to another across varying temperatures, playing a vital role in industries such as HVAC, energy production, and more. Heat exchangers are categorized by their construction features, fluid flow arrangement, and heat transfer principles. The engineering of these devices encompasses thermal and hydraulic considerations, which focus on optimizing heat transfer rates and efficiency while controlling fluid dynamics, and mechanical considerations, which ensure the device's durability and reliability. This approach ensures that heat exchangers are designed to meet specific operational requirements efficiently and effectively, balancing performance with long-term stability and maintenance needs. Enhancing the performance of heat exchangers is a key focus, often pursued through the application of diverse optimization techniques. Traditional approaches, such as linear and dynamic programming, may fall short in addressing the complex, nonlinear nature of heat exchanger optimization, particularly when gradient information is lacking. Consequently, modern optimization techniques that do not rely on gradient information have been introduced to overcome these limitations.

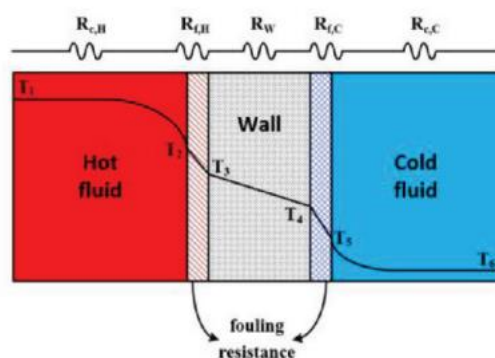


Figure 3 A schematic Diagram for heat transfer in HE

Fouling resistance components include:

$R_{f,H}$: Fouling resistance of the hot side.

R_w : Wall resistance.

$R_{f,C}$: Fouling resistance of the cold side.

$R_{c,C}$: Thermal resistance for convection in the cold side.

The overall heat transfer coefficient (U) can be calculated using the following equation:

$$Q = (T_1 - T_6) / (R_{c,H} + R_{f,H} + R_w + R_{f,C} + R_{c,C})$$

This equation relates the heat transfer rate (Q) to the temperature differences and the various resistance components.

Additionally, the fouling factors for different types of fluids. These fouling factors represent the resistance to heat transfer for common fluids used in heat exchangers. Fouling factors are important for assessing and optimizing heat exchanger performance, as they account for the impact of fluid properties on heat transfer efficiency.

Heat Transfer Rate (Q): Equation (3.1) calculates the rate of heat transfer (Q) across a barrier (like a wall) by dividing the temperature difference ($T_1 - T_6$) by the total thermal resistance (R).

$$Q = \frac{T_1 - T_6}{R_{c,H} + R_{f,H} + R_w + R_{f,C} + R_{c,C}} \quad (3.1)$$

$R_{c,H}$ and $R_{c,C}$: Convection resistance on the hot and cold sides.

$R_{f,H}$ and $R_{f,C}$: Fouling resistance on the hot and cold sides.

R_w : Resistance due to the wall itself.

Wall Resistance (r_w): This can be calculated differently for flat and cylindrical walls. Equation (3.2) is used for flat walls, and Equation (3.3) for cylindrical walls, with factors like wall thickness, and inner and outer diameters being considered.

$$r_w = \frac{d_w}{KA}, \text{ for flat wall} \quad (3.2)$$

$$r_w = \frac{\ln\left(\frac{r_o}{r_i}\right)}{2\pi LK}, \text{ for cylindrical wall} \quad (3.3)$$

Total Thermal Resistance (R_t): Given by Equation (3.4), it sums up the individual resistances (convection, fouling, wall) on both hot and cold sides.

$$R_t = \frac{1}{h_H A_H} + \frac{r_{f,H}}{A_H} + \frac{r_w}{A_H} + \frac{r_{f,C}}{A_C} + \frac{1}{h_C A_C} \quad (3.4)$$

Overall Heat Transfer Coefficient (U): Equation (3.5) defines U , which is crucial for understanding the efficiency of heat transfer. It inversely depends on the sum of individual resistances, each normalized by their respective areas and a reference area (A_{ref}).

$$U = \frac{1}{\frac{1}{h_H \frac{A_H}{A_{ref}}} + \frac{r_{f,H}}{\frac{A_H}{A_{ref}}} + \frac{r_w}{\frac{A_H}{A_{ref}}} + \frac{r_{f,C}}{\frac{A_C}{A_{ref}}} + \frac{1}{h_C \frac{A_C}{A_{ref}}}} \quad (3.5)$$

The Reference Area (A_{ref}) is crucial for accurately calculating the overall heat transfer coefficient (U) in scenarios with varying surface areas, such as pipe heat transfer, where the inner and outer surface areas differ.

Thermal Design of HE

The thermal design of heat exchangers, a critical aspect in engineering for efficient heat transfer management, hinges on two principal methodologies: the Log-Mean Temperature Difference (LMTD) method and the Effectiveness-Number of Transfer Units (ϵ -NTU) method. The LMTD method is most suitable for conditions where the inlet and outlet temperatures of the fluids in the heat exchange process are predetermined, facilitating the calculation of heat transfer based on the temperature differentials at these points.

Thermal Design of Shell and Tube HE

The thermal and hydraulic design of shell and tube heat exchangers delves into their classification, advantages, and specific design aspects. Shell and tube heat exchangers, renowned for their versatility and wide operational range, are categorized based on construction, flow arrangement, and heat transfer mechanisms. Key design considerations include the number of shells and tubes, tube pitch and layout, tube passes, and baffles.

Thermal Design Optimization of HE

The figure 3 illustrates a methodology for thermal design optimization of a shell and tube heat exchanger (STHE). The process begins with input data, which includes the inlet and outlet temperatures and physicochemical data pertaining to the substances involved in the heat exchange process. This input feeds into an optimization framework, specifically a hybrid meta-heuristic optimization algorithm, which aims to find the most efficient design parameters for the heat exchanger. The primary goal of the optimization is to minimize the total cost, which encompasses both the operating costs and the equipment costs. The optimization process takes into account various constraining factors such as the shell outer diameter (D_s), the tube outer diameter (T_o), baffle spacing (b), the number of passes (n), and the number of tubes (N_t). The outcome or output of this process is an optimized design for the STHE that balances performance requirements with cost-efficiency.

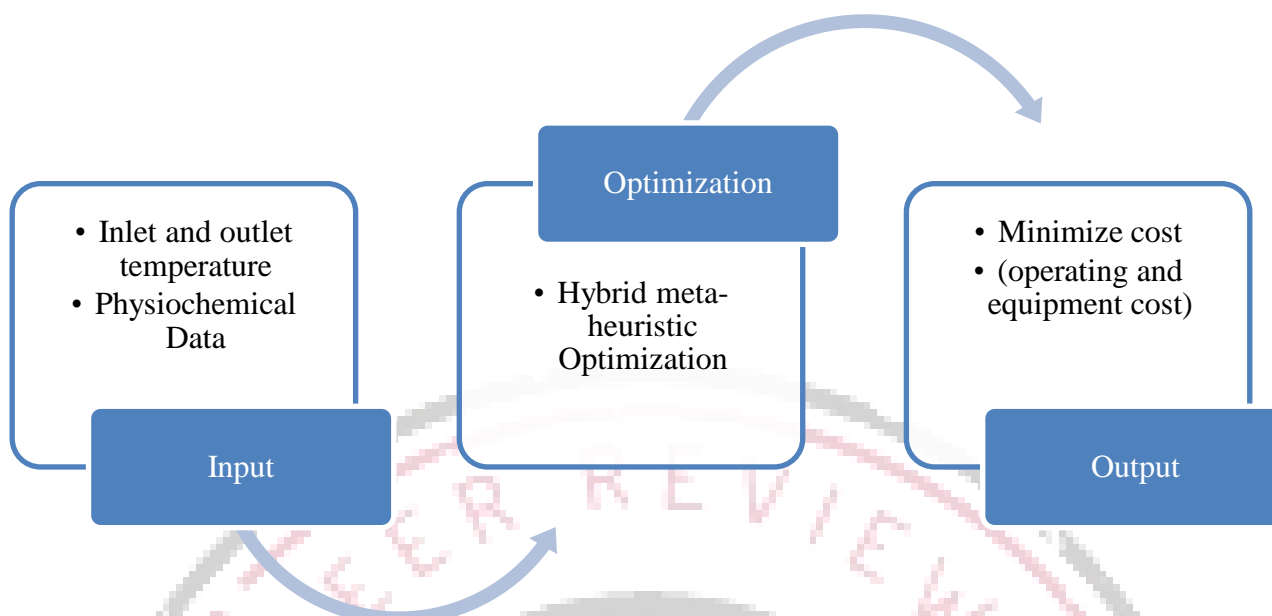


Figure 4 Proposed Approach to Solve the Optimization Problem

The methodology for the thermal design optimization of a shell and tube heat exchanger (STHE) involves a computational tool that utilizes the given properties of the two fluids involved in the heat exchange process. These properties, along with the specified flow rates and the inlet and desired outlet temperatures, serve as the initial data points for the optimization algorithm.

The tool is designed to determine the optimal values for critical design variables such as the tube length, shell diameter, tube diameter, number of passes, number of tubes, and baffle spacing. To achieve this, it employs a hybrid meta-heuristic optimization algorithm. This advanced algorithm combines various heuristic principles to effectively explore and exploit the design space, identifying the set of design variables that lead to the lowest annual cost.

The optimization process aims to minimize the annual cost associated with the heat exchanger, which includes both capital investment and operating expenses, while simultaneously ensuring that the heat transfer rate is sufficient to meet the required outlet temperatures of the fluids. The algorithm iteratively adjusts the design variables, evaluating the cost and heat transfer effectiveness at each step, until it converges on the most cost-efficient design that satisfies the thermal performance criteria.

Cost Optimization

The cost function returns the total cost of operating a shell and tube heat exchanger as:

$$f = a1 + a2 * A^{a3} + c_e HP$$

Where, $a1$, $a2$ and $a3$ are fixed base cost, and constants accounting for the cost of tube/shell material respectively.

The pumping power P required to overcome pressure drops in the system is inversely proportional to pump efficiency η and depends on the fluid's mass flow rate m , density ρ , and the pressure drops ΔP on both the tube side (t) and shell side (s). The effective heat transfer area A is determined by the heat transfer rate, the overall heat transfer coefficient, and the logarithmic mean temperature difference (LMTD). The Logarithmic Mean Temperature Difference (LMTD) method is a fundamental approach used in the design and analysis of heat exchangers, such as the Shell and Tube Heat Exchanger (STHE). The LMTD is calculated based on the temperature differences between the inlet and outlet on both the tube side (where the fluid to be cooled or heated flows) and the shell side (where the cooling or heating medium flows). This method takes into account the temperature gradient between the two fluids over the length of the heat exchanger, providing a measure of the average temperature difference driving the heat transfer.

Steps of working:

Objective Function: Specify the objective function for the heat exchanger design optimization that will minimize total cost of STHE. The constraining factors for optimization are:

D_s : Shell outer diameter

T_o : tube outer diameter

b : baffle spacing

n : number of passes

N_i : number of tubes.

Initialize Populations: Create initial populations for both GA and GWO. These populations consist of potential solutions, each represented as a set of design parameters for the heat exchanger.

Set Algorithm Parameters: Define parameters for both algorithms, such as the number of iterations, population size, crossover and mutation rates for GA, and coefficients for GWO.

Operations:

Step 1: Select optimal population using GA.

Step 2: Pass this optimal population to GWO for getting optimal solution.

Step 3: Select the best solutions from the combined pool for the next iteration.

Step 4: Repeat the operations for a set number of iterations or until a convergence criterion is met.

Step 5: After the final iteration, select the best solution based on the objective function.

4. RESULT ANALYSIS

SYSTEM DETAILS

The entire STHE design optimization is performed on MATLAB platform. MATLAB's role in the design and analysis of heat exchangers is multifaceted and extends across various stages of the engineering process, from initial design through to optimization and validation. The following elaboration breaks down its key contributions:

Modeling and Simulation

- **Thermal and Fluid Dynamics:** MATLAB enables the detailed modeling of both thermal and fluid flow aspects within heat exchangers. By solving the fundamental equations of heat transfer and fluid mechanics—such as the Navier-Stokes equations for fluid flow and the conduction, convection, and radiation heat transfer equations—engineers can simulate the behavior of heat exchangers under diverse operating conditions.
- **Custom and Predefined Functions:** MATLAB's extensive libraries include functions for solving ordinary differential equations (ODEs) and partial differential equations (PDEs), which are crucial for modeling heat transfer processes. Engineers can also write custom scripts to address specific challenges in heat exchanger design, such as dealing with non-linear materials or complex boundary conditions.

Performance Prediction

- **Efficiency Analysis:** By simulating different scenarios, MATLAB helps predict how heat exchangers will perform in various environments and under different loads. This predictive capability is essential for designing systems that operate efficiently under real-world conditions.
- **Parameter Sensitivity:** MATLAB allows engineers to investigate how changes in design parameters (like tube length, diameter, or material) affect the overall efficiency and effectiveness of the heat exchanger. This sensitivity analysis is vital for refining design specifications to meet desired performance goals.

Optimization

- **Design Optimization:** MATLAB's optimization toolbox offers algorithms for finding the optimal design parameters that meet specific objectives, such as minimizing energy consumption or maximizing heat transfer efficiency. These tools can handle multi-objective optimization problems, balancing trade-offs between competing design criteria.
- **Cost-Effectiveness:** By enabling the optimization of design parameters for cost and performance, MATLAB helps ensure that the resulting heat exchanger designs are not only effective but also economically viable.

Visualization and Analysis

- **Graphical Representation:** MATLAB's powerful visualization capabilities allow for the graphical representation of data and simulation results. Engineers can use these tools to analyze temperature profiles, flow patterns, and heat transfer rates within the heat exchanger, identifying potential areas for improvement.
- **Interactive Exploration:** The interactive nature of MATLAB's plotting tools enables engineers to explore the effects of varying design parameters in real-time, facilitating a deeper understanding of the underlying physics and aiding in the iterative design process.

In conclusion, MATLAB's comprehensive suite of tools for modelling, simulation, optimization, and analysis makes it an indispensable asset in the field of heat exchanger design. Its ability to handle complex calculations, combined with advanced graphical and optimization capabilities, empowers engineers to innovate and improve upon traditional heat exchanger designs, leading to systems that are more efficient, cost-effective, and suited to the demands of modern applications.

The optimization results for a Shell and Tube Heat Exchanger (STHE) using a hybrid meta-heuristic algorithm for crude oil/kerosene heat transfer reveal an optimal design trade-off between the number of tubes, shell diameter, and annual cost, while maintaining a constant heat transfer rate and tube diameter. As the number of tubes increases from 50 to 100, there's a notable decrease in shell diameter and annual cost, suggesting that more compact designs are economically advantageous.

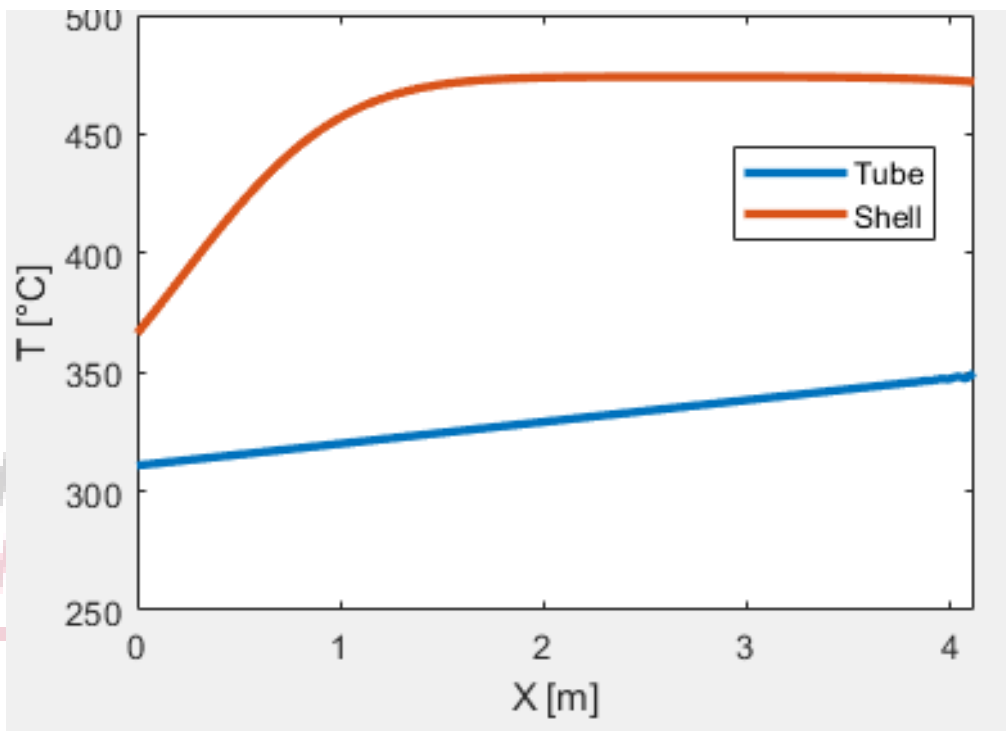


Figure 5 Optimal Temperature for Crude Oil/Kerosene STHE

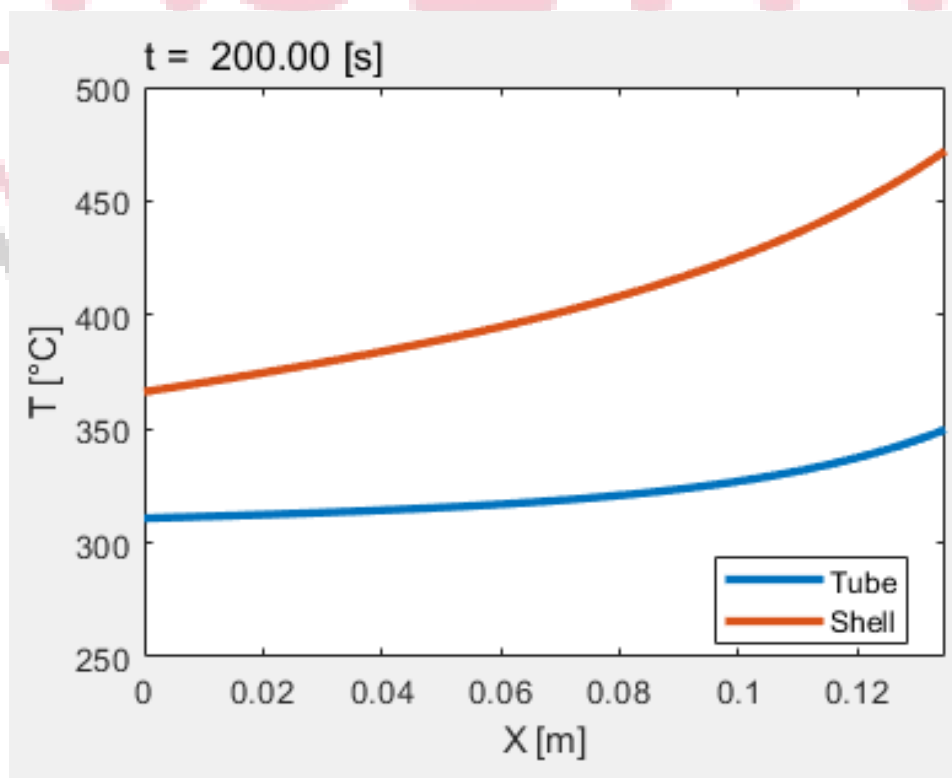


Figure 6 Optimal Temperature for Crude Oil/Water STHE

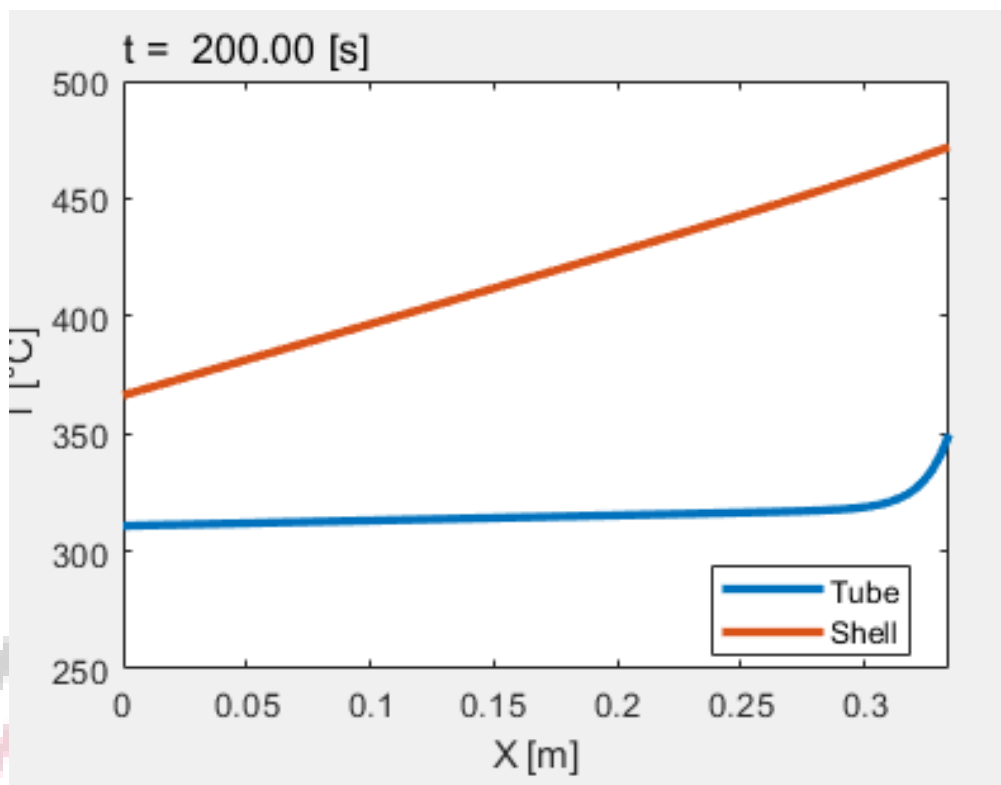


Figure 7 Optimal Temperature for Graphene/Water STH

The observed trend in optimizing the tube count, along with adjustments in tube diameter and baffle spacing, significantly reduces costs without sacrificing heat transfer efficiency. According to the data presented, the configuration with 20 tubes emerges as the most cost-effective option, suggesting an optimal balance between operational costs and performance efficiency. This finding underscores the potential benefits of targeted design modifications in enhancing Shell and Tube Heat Exchanger (STHE) systems for graphene/water heat transfer applications. The decrease in tube diameter and baffle spacing, coupled with an increased tube count, highlights the critical role of fine-tuning design parameters to achieve efficient and cost-effective heat exchanger designs.

5. CONCLUSION

The research on heat exchanger optimization has underscored the immense potential and necessity of advancing heat transfer technologies in various industrial applications. The exploration of diverse optimization techniques has demonstrated their efficacy in enhancing the performance and efficiency of heat exchangers.

The optimization of STHE designs using a hybrid meta-heuristic algorithm has demonstrated significant potential in improving cost efficiency and compactness of heat exchangers across different fluid combinations. For crude oil/kerosene heat transfer, the optimal design features 100 tubes with the lowest annual cost and a compact shell diameter, maintaining constant heat transfer efficiency. In the case of crude oil/water, incremental improvements in tube count yield marginal cost reductions, with the 100-tube configuration emerging as the most cost-effective. The graphene/water heat transfer optimization highlights the effectiveness of adjusting tube diameters and baffle spacing alongside increasing tube counts to achieve the lowest annual cost while ensuring consistent heat transfer rates.

Across all fluid combinations, the study reveals that optimizing the number of tubes and corresponding adjustments in design parameters can lead to significant cost savings without sacrificing performance. The constant heat transfer rate across varying configurations underscores the targeted design's ability to meet specific heat transfer criteria efficiently. These findings demonstrate the value of employing hybrid meta-heuristic algorithms in the design process of STHEs, suggesting that such approaches can lead to economically and operationally optimal solutions. Future work could explore the integration of additional variables and constraints to further enhance the design and efficiency of STHEs for various applications.

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